



SP. 09/591,147 2900
#4/misc. letter
Wm Jurga-
12/18/02
CAROL A. TRUFANT, PH.D.
P.O. Box 14695
BERKELEY, CA 94712-5695
AUGUST 2, 2000

RECEIVED

ASSISTANT COMMISSIONER FOR PATENTS
OFFICE OF INITIAL PATENT EXAMINATION
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WASHINGTON, DC 20231

TECH CENTER 1600/2900

TO WHOM IT MAY CONCERN:

ENCLOSED FIND VARIOUS DOCUMENTS SUPPORTING MY CONTENTION, COMPLAINT
PUZZLEMENT AT NOT RECEIVING A RECEIPT FOR MY UTILITY APPLICATION; I DID
RECEIVE THE RECEIPT FOR THE DESIGN APPLICATION IN LATE JULY 2000.

THEY WERE IN THE SAME ENVELOPE

IF YOU SENT THE UTILITY APPLICATION RECEIPT, THEN SOMEONE TOOK IT OUT
OF THE ENVELOPE. ENCL (1) SHOWS A COPY OF THE ENVELOPE AS I FOUND IT,
UNSEALED WITH A PIECE OF TAPE ACROSS IT MAKING IT EASY TO OPEN AND
REMOVE CONTENTS.

ENCL (2) SHOWS RECEIPT I RECEIVED, APPLICATION # 29/1244,705, ENTRY
ENTERED BY DOROTHY BELL. IF SHE FOUND ONLY ONE APPLICATION IN THE
FILE, THEN SOMEONE IN THE US POSTAL SERVICE TOOK IT OUT AFTER I MAILED
THE PACKAGE..OR SOMEONE IN YOUR ORGANIZATION HAS IT, TOOK IT ????

ENCL (3) SHOWS FORM #10-1: PATENT APPLICATION TRANSMITTAL LETTER DATED
6-9-00. IT SHOWS EXPRESS MAIL # EE 672 257 550 US, AND THE CONTENTS,
PLUS 2 CHECKS ONE FOR \$345 UTILITY APPLICATION, AND ONE FOR \$155 FOR
DESIGN APPLICATION.

ENCL (4) IS A COPY OF MY MONEY ORDER RECEIPT SHOWING \$345. PAID TO
COMMISSIONER OF PATENTS AND TRADEMARKS.

WHERE IS MY MATERIAL? I WORKED HARD ON THIS APPLICATION AND I DO NOT
LIKE THE IMPLICATION THAT SOMEONE HAS TAMPERED WITH IT!

ENCLOSURE (5) IS A COVER LETTER STATING THAT I SENT IN CORRECTED
MATERIAL FOR BOTH APPLICATIONS ON 6-24-00. THIS MATTER HAS GIVEN ME
THE OPPORTUNITY TO TELL YOU ABOUT THIS SO YOU CAN FIND THIS SECOND
PACKAGE AND PUT THEM BOTH TOGETHER. THE EXPRESS MAIL # FOR THE 2ND
PACKAGE IS EE 581 540 381 US.

ENCL (6) IS THE UTILITY PATENT APPLICATION TRANSMITTAL AS IT LOOKS WITH
THE UPDATED MATERIAL ADDED SUCH AS MY PROPOSAL OF 11/28/89.
THIS LTR COPY WAS SENT WITH THE 6-24-00 MATERIAL.

ENCL (7) ARE COPIES OF 2 LISTS OF CONTENTS TO BE USED IN PLACE OF THE

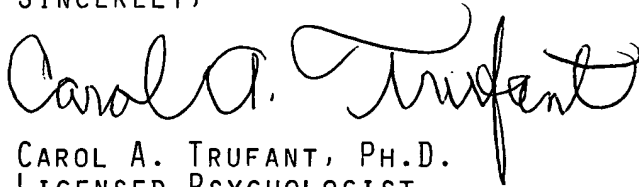
POSTCARDS. MATERIAL SENT 6-9-00, AND 6-24-00 IS LISTED AND WAS SENT FOR BOTH DESIGN AND UTILITY APPLICATIONS .

PLEASE ADVISE AS SOON AS POSSIBLE.

DID YOU RECEIVE MY UTILITY PACKAGE OF 6-9-00 W/MO FOR \$345.?

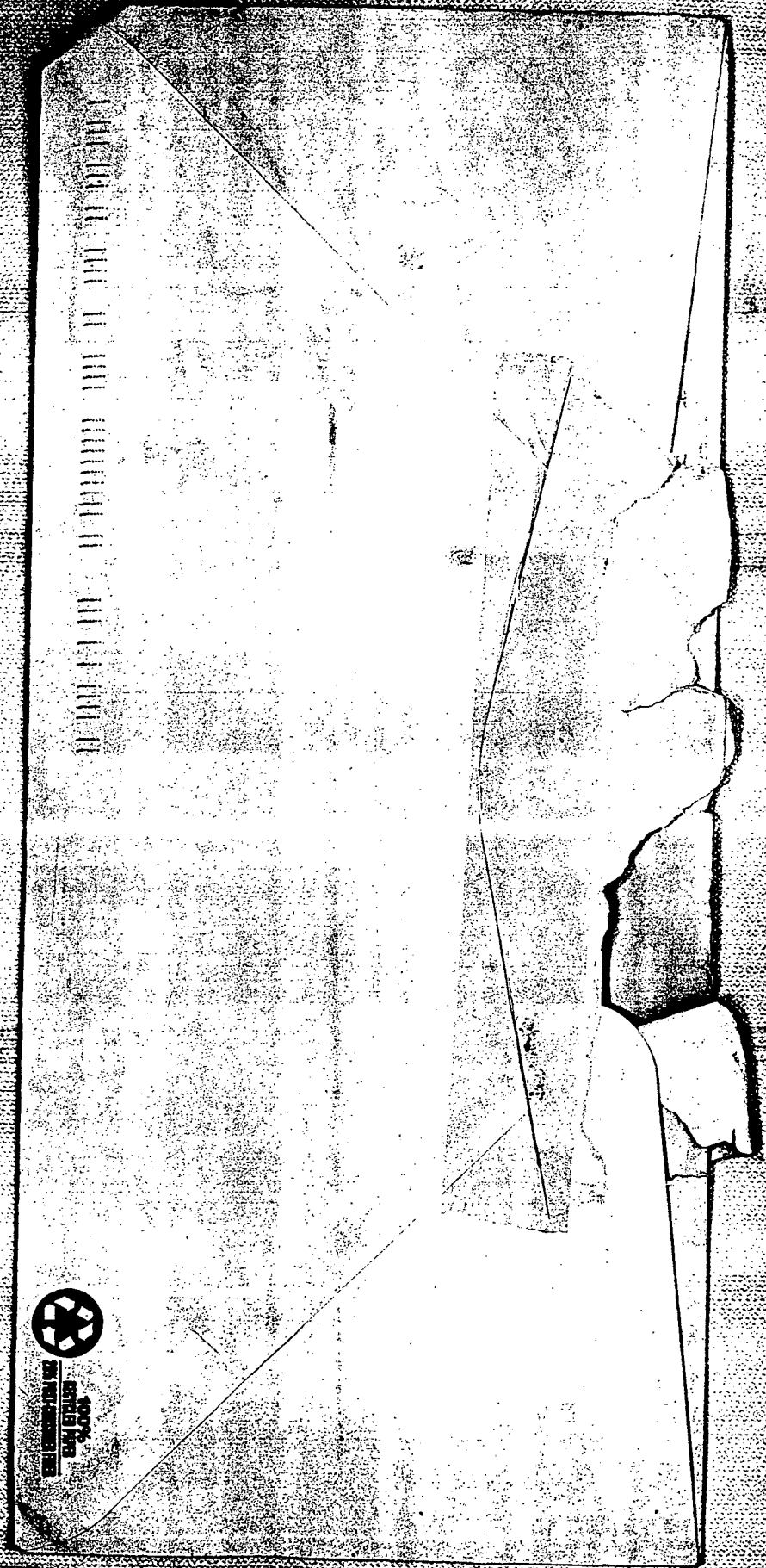
DID YOU RECEIVE MY SECOND PACKAGE WITH CORRECTIONS TO BOTH DESIGN AND UTILITY APPLICATIONS? EXPRESS MAIL # EE 581 540 381 US?

SINCERELY,

A handwritten signature in cursive script that reads "Carol A. Trufant". The signature is written in dark ink and is positioned above the printed name and title.

CAROL A. TRUFANT, PH.D.
LICENSED PSYCHOLOGIST
CALIF AND WISCONSIN

① 11/11/11



100%
RECYCLED
PAPER

FILING RECEIPT

OC000000005227484

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
29/124,705	06/09/2000	2900	155	-	2	1	1

Carol A Trufant Ph D
P O Box 14695
Berkeley, CA 94712-5695

Date Mailed: 07/10/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Carol Ann Trufant, Oakland, CA ;

Continuing Data as Claimed by Applicant**Foreign Applications**

If Required, Foreign Filing License Granted 07/07/2000

**** SMALL ENTITY ******Title**

Intergroup working model for social conflict resolution

Preliminary Class

D01

Data entry by : BELL, DOROTHY

Team : OIPE

Date: 07/10/2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

ENC1 (2)

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

UNITED STATES PATENT AND TRADEMARK OFFICE

Mailed 200

Box Patent Application

Assistant Commissioner for Patents

Washington, District of Columbia 20231

Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name:

CAROL A. TRUFANT Ph.D.

Applicant #2, Name:

Title: AN INTERGROUP WORKING MODEL FOR SOCIAL CONFLICT

☒ Specification, Claims, and Abstract: Nr. of Sheets

6

on application Resolution

☒ Declaration: Date Signed:

6-9-00

☒ Drawing(s): Nr. of Sheets Enc.: Formal:

2

Informal:

☒ Small Entity Declaration of Inventor(s)

☐ SED of Non-Inventor / Assignee / Licensee

☐ Assignment enclosed with cover sheet and recordal fee; please record and return.

☒ Check for \$

345

for:

Utility

+

\$155

for Design

☒ \$345 + 155

for filing fee (not more than three independent claims and twenty total claims are presented).

☐ \$

additional if Assignment is enclosed for recordal.

☒ Information Disclosure Statement, Form PTO-1449, and listed references.

abstract of ?

☐ Disclosure Document Program reference letter.

☐ Pursuant to 35 U.S.C. §119(e)(1), applicant(s) claim priority of Provisional Patent Application Ser. Nr.

filed

☒ Return Receipt Postcard Addressed to Applicant #1.

☒ Request Under MPEP § 707.07(j): The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

Very respectfully,

Carol A. Trufant

Applicant #1 Signature

Applicant #2 Signature

PO Box 14695

Address (Send Correspondence Here)

Address

Barkeley CA 94712-5695

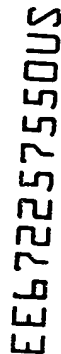
Express Mail Label #

EE672257550US

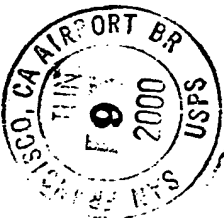
Date of Deposit 200

6-9-00

ENC (3)



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NO DELIVERY ☐ Weekend ☐ Holiday

Customer Signature

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PLEASE PRINT) PHONE ()
CAROL A. TRUANT, PH.D.
P.O. BOX 14695
BERKELEY, CA 94712-5695

TO: (PLEASE PRINT)

ASSISTANT COMMISSIONER FOR
PATENT APPLICATIONS
WASHINGTON, DC 20535

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.gov

Label 11-B July 1997

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SERIAL NUMBER	YEAR, MONTH, DAY	POST OFFICE	U.S. DOLLARS AND CENTS
COMMISSIONER OF PATENTS AND TRADEMARKS		CHECKWRITER IMPRINT AREA	
ADDRESS		FROM	
WASHINGTON, DC 20231		CAROL A. TRUEFANT, PH.D.	
C.O.D. NO. OR USED FOR		ADDRESS	
UTILITY PATENT BASIC FEE		P.O. Box 14695	
		BERKELEY, CA 94712-5695	

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Encl (4)

EE581540381US

EE 581 540 381 US

EE581540381US

CAROL A. TRUFANT, PH.D.
P.O. Box 14695
BERKELEY, CA 94712-5695
JUNE 24, 2000

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
WASHINGTON, DC 20231

FOLLOW-UP CORRESPONDENCE
RE: UTILITY & DESIGN
APPLICATIONS

ASSISTANT COMMISSIONER:

I HAVE TAKEN THE LIBERTY TO SEND CORRECTED MATERIAL.

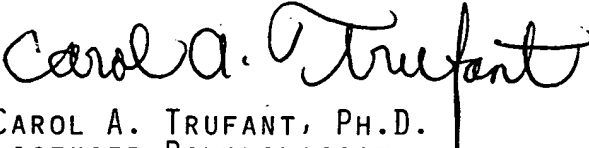
FIRST, I FORGOT TO INCLUDE HISTORY, REVIEW OF LITERATURE FOR BOTH UTILITY AND PATENT APPLICATIONS. THAT MATERIAL IS ENCLOSED. I HAVE LISTED ON A COVER RETURN SHEET ALL THAT IS INCULDED TODAY AT THE BOTTOM. EACH LIST IS ATTACHED TO EITHER UTILITY OR DESIGN APPLICATION.

ALSO ENCLOSED FIND COPIES OF ORGINAL MAILING RECEIPT FOR EXPRESS MAIL SHOWING I TIMELY FILED ON JUNE 9, 2000.

I HAVE AGAIN SENT MATERIAL EXPRESS MAIL. THE NUMBER IS AT TOP OF LETTER.

I LOOK FORWARD TO HEARING FROM YOU.

SINCERELY,


CAROL A. TRUFANT, PH.D.
LICENSED PSYCHOLOGIST
CALIFORNIA & WISCONSIN

PS: YOU HAVE MY SELF-ADDRESSED STAMPED ENVELOPE TO USE TO RETURN MY LIST OF MATERIAL SENT; ENVELOPE WAS SENT WITH ORIGINAL PACKAGE. ANOTHER ENVELOPE STAMPED & SELF-ADDRESSED IS ENCLOSED.

I HAVE NOT HEARD FROM YOU AS YET.

Encl (5)

REVISED

Please type a plus sign (+) inside this box → ☐

Approved for use through 09/30/2000. OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

First Inventor or Application Identifier C. TRUFANT

Title AN INTERGROUP WORKING MODEL FOR

Express Mail Label No. EE 672 257 550 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages
 (preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets

4. Oath or Declaration [Total Pages

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
 (for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
11. ☐ Preliminary Amendment ARTICLE, P. 160
12. ☐ Return Receipt Postcard (MPEP 503 (161)); (Should be specifically itemized) PROPOSAL
13. ☒ * Small Entity Statement(s) Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 11-28-89
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed) COPY OF PS; PROG
15. ☒ Other: POSTER SESSION, 6/99
- PLAN OF RESEARCH, 2000; MODEL AS DESIGN, 2/00; QUAL. CIRCLE USE 3/00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	CAROL A. TRUFANT				
Address	P.O. BOX 14695				
City	BERKELEY	State	CA	Zip Code	94712-5695
Country	USA	Telephone	510.653-1294	Fax	-

Name (Print/Type)	CAROL A. TRUFANT, PH.D.	Registration No. (Attorney/Agent)	
Signature	<i>Carol A. Trufant</i>	Date	06-09-00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Encl (6)

LIST IN LIEU OF POSTCARD TO BE RETURNED IN STAMPED SELF-
ADDRESSED ENVELOPE

UTILITY PATENT APPLICATION - 6-9-00

UTILITY PATENT APPLICATION TRANSMITTAL - 1

FEE TRANSMITTAL FOR FY 200 1

MONEY ORDER FOR \$345.-

STATEMENT CLAIMING SMALL ENTITY STATUS - 1

UTILITY PATENT APPLICATION - SPECIFICATION - 6 PAGES

DRAWINGS - FIG 1 & 3
FIG 3

DECLARATION - 2 PP

EXTRA MATERIAL

POSTER SESSION 5 PP

POSTER SESSION PROGRAM SHOWING WHEN I PRESENTED 6-10-99

PLAN OF RESEARCH 5 PP + 2 FIGURES 1 & 3, & 1

DESIGN PROPOSAL - 2-14-00 - 2 PP + FIG 1 & 3

DESCRIPTION OF MODEL 'S USE FOR QUALITY CIRCLES IN THE WORKPLACE

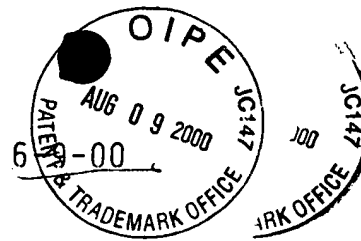
6 PP INCLUDING MODEL (FIG. 1 & 3

6-24-00

- 1) PROPOSAL/ REVIEW OF LITERATURE METHODS, HYPOTHESES-5TH VERSION, 11/89
- 2) P. 160 (& 161), CHRIS ARGYRIS', OVERCOMING ORGANIZATIONAL DEFENSES....
RE: NEEDING INFO CONCEPT MENTIONED IN MY MODEL.
- 3) REVISED ABSTRACT OF DISCLOSURE - REPLACEMENT P
- 4) REVISED FIG 2 (ADDED 1 LEGEND ITEM & DEFINITION (BOS=BOUNDARY SPACE)
- 5) REVISED SPECIFICATION ESPECIALLY ADDED HYPOTHESES TO BRIEF SUMMARY
OF THE INVENTION (*Revised Summary*)
- 6) REVISED UTILITY PATENT APPLICATION TRANSMITTAL - 1

Encl (7)

DESIGN PATENT APPLICATION



IN LIEU OF POSTCARD TO BE RETURNED IN STAMPED SELF-ADDRESSED ENVELOPE

PREAMBLE - P. 1

SPECIFICATION P. 2

FIG 1 & 3 P. 3

FIG 2 P. 4
DECLARATION P. 5 & 6

FEE TRANSMITTAL FOR FY 2000 P. 7

MONEY ORDER FOR \$ 155.

STATEMENT CLAIMING SMALL ENTITY STATUS P. 8

BRIEF SUMMARY OF THE INVENTION P. 9 & 10

ABSTRACT OF THE DISCLOSURE P. 11

EXTRA MATERIAL

POSTER SESSION 5 PP

POSTER SESSION PROGRAM SHOWING WHEN I PRESENTED 6-10-99

PLAN OF RESEARCH 5 PP + 2 FIG ! & 3, & 1

DESIGN PROPOSAL 2-14-00 2PP + FIG 1 & 3

DESCRIPTION OF MODEL 'S USE FOR QUALITY CIRCLES IN THE WORKPLACE

6 PP INCL MODEL (FIG 1 & 3)

6-24-00

- 1) PROPOSAL / REVIEW OF LITERATURE, METHODS, HYPOTHESES.
- 2) P. 160(& 161), CHRIS ARGYRIS', OVERCOMING ORGANIZATIONAL DEFENSES...
- 3) REVISED ABSTRACT OF DISCLOSURE RE NEED FOR INFORMATION
- 4) REVISED FIGURE 2 (ADDED 1 LEGEND ITEM & DEFINITION(BOS=BOUNDARY SPACE)
- 5) BRIEF SUMMARY OF THE INVENTION (*Revised*)
- 6) REVISED DESIGN PATENT APPLICATION , PAGE 1